

The role of Large Cardinals in the semantics of Generalized Provability Logics

Joan Bagaria



UNIVERSITAT DE
BARCELONA

The First Mexico/USA Logic Fest
ITAM, Ciudad de México
10-13 January 2018

Gödel's Provability predicate

Recall that Gödel's provability predicate $\text{Prov}(x)$ for Peano's Arithmetic (PA) asserts that x is (a natural number coding) a formula provable from PA.

By Gödel's Second Incompleteness Theorem, if PA is consistent then it does not prove

$$\neg \text{Prov}(\ulcorner \perp \urcorner)$$

But PA does prove the following properties of $\text{Prov}(x)$:

Distribution

$$\text{Prov}(\ulcorner \varphi \rightarrow \psi \urcorner) \rightarrow (\text{Prov}(\ulcorner \varphi \urcorner) \rightarrow \text{Prov}(\ulcorner \psi \urcorner))$$

Formalized Löb's theorem

$$\text{Prov}(\ulcorner \text{Prov}(\ulcorner \varphi \urcorner) \rightarrow \varphi \urcorner) \rightarrow \text{Prov}(\ulcorner \varphi \urcorner)$$

Prov(x) as a modality

Distribution

$$\Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$$

Formalized Löb's theorem

$$\Box(\Box\varphi \rightarrow \varphi) \rightarrow \Box\varphi$$

The Gödel-Löb Provability Logic (GL)

GL is a logic in the language of propositional logic with an additional modal operator \Box .

Axioms:

1. Propositional tautologies.
2. $\Box(\varphi \rightarrow \psi) \rightarrow (\Box\varphi \rightarrow \Box\psi)$ (Distribution)
3. $\Box(\Box\varphi \rightarrow \varphi) \rightarrow \Box\varphi$ (GL axiom)

Rules:

1. $\vdash \varphi, \vdash \varphi \rightarrow \psi \Rightarrow \vdash \psi$ (Modus Ponens)
2. $\vdash \varphi \Rightarrow \vdash \Box\varphi$ (Necessitation)

Completeness

Theorem (Seegerberg 1971)

GL is sound and complete under Kripke semantics for the class of finite irreflexive trees.

This is a key point in the remarkable proof by Solovay that **GL** is **arithmetically complete**, i.e., GL completely axiomatizes the properties of **Prov(x)** that are provable in **PA**.

Solovay's Theorem

Theorem (Solovay 1976)

$GL \vdash \varphi$ if and only if $PA \vdash f(\varphi)$ for all realizations f .

A **realization** f is a function from modal to arithmetical formulas that assigns an arithmetical sentence to each propositional variable, it respects all connectives, and $f(\Box\varphi) = \text{Prov}(\ulcorner f(\varphi) \urcorner)$.

Polymodal provability logics

Japaridze (1986) considers two modalities: $[0]$, corresponding to the \mathbf{Prov} predicate, and $[1]$ corresponding to the \mathbf{Prov}_1 predicate, i.e., provability in \mathbf{PA} together with all Π_1 truths.

The corresponding logic, called \mathbf{GLB} , has the same axioms as \mathbf{GL} for each of the modalities, plus two mixed axioms:

$$[0]\varphi \rightarrow [1]\varphi \quad (\text{Monotonicity})$$

$$\langle 0 \rangle \varphi \rightarrow [1]\langle 0 \rangle \varphi \quad (\Pi_1\text{-completeness})$$

Here, $\langle 0 \rangle$ is the dual operator $\neg[0]\neg$.

The Logic GLP_ω (Japaridze, 1986)

He also considers the language of propositional logic with additional modal operators $[n]$, for each $n < \omega$. The logic system GLP_ω has the following axioms and rules:

Axioms:

1. Propositional tautologies.
2. $[n](\varphi \rightarrow \psi) \rightarrow ([n]\varphi \rightarrow [n]\psi)$, for all $n < \omega$
3. $[n]([n]\varphi \rightarrow \varphi) \rightarrow [n]\varphi$, for all $n < \omega$
4. $[m]\varphi \rightarrow [n]\varphi$, for all $m < n < \omega$
5. $\langle m \rangle \varphi \rightarrow [n]\langle m \rangle \varphi$, for all $m < n < \omega$

Rules:

1. $\vdash \varphi, \vdash \varphi \rightarrow \psi \Rightarrow \vdash \psi$ (Modus Ponens)
2. $\vdash \varphi \Rightarrow \vdash [n]\varphi$, for all $n < \omega$ (Necessitation)

The Logic GLP_ξ

More generally, for any ordinal $\xi \geq 2$, one considers the language of propositional logic with additional modal operators $[\alpha]$, for each $\alpha < \xi$. The logic system GLP_ξ has the following axioms and rules:

Axioms:

1. Propositional tautologies.
2. $[\alpha](\varphi \rightarrow \psi) \rightarrow ([\alpha]\varphi \rightarrow [\alpha]\psi)$, for all $\alpha < \xi$
3. $[\alpha]([\alpha]\varphi \rightarrow \varphi) \rightarrow [\alpha]\varphi$, for all $\alpha < \xi$
4. $[\beta]\varphi \rightarrow [\alpha]\varphi$, for all $\beta < \alpha < \xi$
5. $\langle \beta \rangle \varphi \rightarrow [\alpha]\langle \beta \rangle \varphi$, for all $\beta < \alpha < \xi$

Rules:

1. $\vdash \varphi, \vdash \varphi \rightarrow \psi \Rightarrow \vdash \psi$ (Modus Ponens)
2. $\vdash \varphi \Rightarrow \vdash [\alpha]\varphi$, for all $\alpha < \xi$ (Necessitation)

Topological semantics (Simmons, Esakia, 1970s)

Problem

The logic GLB (and hence also GLP_ξ for $\xi \geq 2$) is not complete with respect to any class of Kripke frames.

Thus, one turns to **topological semantics**, where $\langle 0 \rangle$ is interpreted as the Cantor derivative operator, i.e.,

$$v(\langle 0 \rangle \varphi) = d(v(\varphi)) = \{x : x \text{ is a limit point of } v(\varphi)\}.$$

Topological semantics

We consider polytopological spaces $(X, (\tau_\alpha)_{\alpha < \xi})$.

A **valuation** on X is a map v that assigns to each formula of GLP_ξ a subset of X so that:

1. $v(\neg\varphi) = X - v(\varphi)$
2. $v(\varphi \wedge \psi) = v(\varphi) \cap v(\psi)$
3. $v(\langle n \rangle \varphi) = d_\alpha(v(\varphi))$, for all $\alpha < \xi$, where

$d_\alpha : \mathcal{P}(X) \rightarrow \mathcal{P}(X)$ is the derived set operator for τ_α (i.e., $d_\alpha(A)$ is the set of limit points of A in the τ_α topology).

Hence, $v([\alpha]\varphi) = X - d_\alpha(X - v(\varphi))$, for all $\alpha < \xi$.

A formula is **valid** in $(X, (\tau_\alpha)_{\alpha < \xi})$ if $v(\varphi) = X$, for every valuation v on X .

GLP spaces

A space $(X, (\tau_\alpha)_{\alpha < \xi})$ is called a **GLP-space** if:

1. (X, τ_0) is scattered
2. $\tau_\alpha \subseteq \tau_{\alpha+1}$
3. $d_\alpha(A)$ is an open set in $\tau_{\alpha+1}$, for all $A \subseteq X$.

Theorem (Beklemishev-Gabelaia¹)

GLP_ω is complete with respect to the class of GLP-spaces.

Theorem (Fernández-Duque²)

If ξ is countable, then GLP_ξ is complete with respect to the class of GLP-spaces.

¹Topological completeness of the provability logic GLP, Annals of Pure and Applied Logic 164 (12), 1201-1223 (2013)

²The polytopologies of transfinite provability logic. Archive for Mathematical Logic, Volume 53, Issue 3, 385-431 (2014)

Ordinal topological semantics

The most natural class of scattered spaces are ordinal numbers with the usual interval topology. So, the question is:

Question

Is GLP_ξ (for $\xi \geq 2$) complete with respect to ordinal GLP spaces?

We consider GLP spaces $(\Omega, (\tau_\alpha)_{\alpha < \xi})$, where Ω is some limit ordinal, or OR , and where

1. τ_0 is the interval topology.
2. $\tau_{\alpha+1}$ is the topology generated by $\tau_\alpha \cup \{d_\alpha(A) : A \subseteq \Omega\}$, where
$$d_\alpha(A) := \{\gamma < \Omega : \gamma \text{ is a limit point of } A \text{ in the } \tau_\alpha \text{ topology}\}.$$
3. $\tau_\alpha = \bigcup_{\beta < \alpha} \tau_\beta$, if α is a limit ordinal.

Ordinal topological semantics

Note that if the cofinality of β is uncountable and $\beta \in d_0(\mathcal{A})$, then $d_0(\mathcal{A}) \cap \beta$ is a club subset of β .

Since the set $\tau_0 \cup \{d_0(\mathcal{A}) : \mathcal{A} \subseteq \Omega\}$ generates the topology τ_1 on Ω , τ_1 is known as the **club topology**.

The non-isolated points of τ_1 are exactly the ordinals of uncountable cofinality.

Theorem (Soundness)

Every GLP_ξ -provable formula is valid in $(\Omega, (\tau_\alpha)_{\alpha < \xi})$.

Completeness of GLP_2

Theorem (Blass 1990. Beklemishev 2011)

If \Box_κ holds for all $\kappa < \aleph_\omega$, then GLP_2 is complete with respect to $(\Omega, (\tau_0, \tau_1))$, with $\Omega \geq \aleph_\omega$.³

Theorem (Blass 1990)

It is consistent with ZFC, modulo the existence of a Mahlo cardinal, that GLP_2 is not complete with respect to $(\Omega, (\tau_0, \tau_1))$, for any ordinal Ω .

³*Infinitary Combinatorics and Modal Logic*. The Journal of Symbolic Logic, Vol. 55, No. 2, 761-778 (1990).

Ordinal Completeness of Bimodal Provability Logic GLB. In Logic, Language, and Computation, Lecture Notes in Computer Science, Vol. 6618, 1-15 (2011).

On discreteness

Question

Is it consistent with ZFC that GLP_3 is complete with respect to some $(\Omega, (\tau_0, \tau_1, \tau_2))$?

Fact

For GLP_ξ to be complete with respect to some $(\Omega, (\tau_\alpha)_{\alpha < \xi})$ we need that all the τ_α are non-discrete. (If τ_α is discrete, then the non-provable formula $[\alpha] \perp$ is valid.)

Non-isolated points in the τ_2 topology

For every set of ordinals A ,

$$d_1(A) = \{\alpha : A \cap \alpha \text{ is stationary in } \alpha\}.$$

If some stationary subset S of α does not reflect (i.e., $d_1(S) = \{\alpha\}$), then α is an isolated point of τ_2 . Thus, every non-isolated point α has to reflect stationary sets.

Let us say that an ordinal α is **2-stationary** if all stationary subsets of α reflect. It is well-known that the first 2-stationary cardinal, if it exists, must be either weakly inaccessible or the successor of a singular cardinal.

So all ordinals $\alpha \leq \aleph_\omega$ are isolated in the τ_2 topology.

But being 2-stationary is not enough.

Stationary reflection

Let us say that a set \mathcal{A} of ordinals is **2-simultaneously-stationary**, or **2-s-stationary** for short, in α if every pair S, T of stationary subsets of α **simultaneously reflect** on some $\beta \in \mathcal{A}$, that is, there exists $\beta < \alpha$ such that $S \cap \beta$ and $T \cap \beta$ are both stationary in β .

This property characterizes the non-isolated points in the τ_2 topology, i.e.,

α is not an isolated point of τ_2 iff α is 2-s-stationary in α .

Theorem (Jensen)

In the constructible universe \mathbb{L} a regular cardinal κ is 2-stationary if and only if it is 2-s-stationary, if and only if it is weakly compact.⁴

⁴R. Jensen, The fine structure of the constructible hierarchy. *Annals of Math. Logic* 4 (1972)

α -stationary sets

In order to characterize the non-isolated points of the τ_α topology, for $\alpha > 2$, we need a generalized notion of stationary reflection.

Definition

We say that $A \subseteq \text{OR}$ is **0-stationary in α** if and only if $A \cap \alpha$ is unbounded in α .

For $\xi > 0$, we say that A is **ξ -stationary in α** if and only if for every $\zeta < \xi$, every ζ -stationary subset S of α **ζ -reflects** to some $\beta \in A$, i.e., $S \cap \beta$ is ζ -stationary in β .

Definition

We say that $A \subseteq \text{OR}$ is **0-s-stationary in α** if and only if $A \cap \alpha$ is unbounded in α .

For $\xi > 0$, we say that A is **ξ -s-stationary in α** if and only if for every $\zeta < \xi$, every pair S, T of ζ -s-stationary subsets of α **ζ -s-reflect** to some $\beta \in A$, i.e., $S \cap \beta$ and $T \cap \beta$ are ζ -s-stationary in β .

Fact

For every ξ ,

$$d_\xi(\mathcal{A}) = \{\alpha : \mathcal{A} \cap \alpha \text{ is } \xi\text{-s-stationary in } \alpha\}.$$

Theorem

For every ξ , an ordinal α is non-isolated in the τ_ξ topology if and only if α is ξ -s-stationary.⁵

⁵In the case $\xi < \omega$ this is due independently to L. Beklemishev.

Reflection and indescribability in \mathbb{L}

Recall that a cardinal κ is Π_n^1 -**indescribable** if for every $A \subseteq V_\kappa$ and every Π_n^1 -sentence $\varphi(A)$, if

$$\langle V_\kappa, \in, A \rangle \models \varphi(A)$$

then there is $\lambda < \kappa$ such that

$$\langle V_\lambda, \in, A \cap V_\lambda \rangle \models \varphi(A \cap V_\lambda).$$

Theorem (Bagaria-Magidor-Sakai 2015⁶)

Assume $V = \mathbb{L}$. For every $n > 0$, a regular cardinal is $(n+1)$ -stationary if and only if it is $(n+1)$ -s-stationary if and only if it is Π_n^1 -indescribable.

Thus, in \mathbb{L} the non-isolated points of the τ_{n+1} topology are precisely the ordinals whose cofinality is a Π_n^1 -indescribable cardinal.

⁶*Reflection and Indescribability in the constructible universe*. Israel Journal of Mathematics. Volume 208, Issue 1, pp 1-11 (2015).

Definition

For ξ any ordinal, a formula is $\Sigma_{\xi+1}^1$ if it is of the form

$$\exists X_0, \dots, X_k \varphi(X_0, \dots, X_k)$$

where $\varphi(X_0, \dots, X_k)$ is Π_{ξ}^1 .

And a formula is $\Pi_{\xi+1}^1$ if it is of the form

$$\forall X_0, \dots, X_k \varphi(X_0, \dots, X_k)$$

where $\varphi(X_0, \dots, X_k)$ is Σ_{ξ}^1 .

If ξ is a limit ordinal, a formula is Σ_{ξ}^1 (respectively Π_{ξ}^1) if it is of the form

$$\bigvee_{\zeta < \xi} \varphi_{\zeta} \quad (\text{respectively} \quad \bigwedge_{\zeta < \xi} \varphi_{\zeta})$$

where φ_{ζ} is Σ_{ζ}^1 (respectively Π_{ζ}^1), all $\zeta < \xi$, and has only finitely-many free second-order variables.

Reflection and indescribability in \mathbf{L}

A cardinal κ is Π_ξ^1 -**indescribable** if for every $A \subseteq V_\kappa$ and every Π_ξ^1 -sentence $\varphi(A)$, if

$$\langle V_\kappa, \in, A \rangle \models \varphi(A)$$

then there is $\lambda < \kappa$ such that

$$\langle V_\lambda, \in, A \cap V_\lambda \rangle \models \varphi(A \cap V_\lambda).$$

Theorem (Bagaria 2016⁷)

Assume $V = \mathbf{L}$. For every $\xi > 0$, a regular cardinal is $(\xi + 1)$ -stationary if and only if it is $(\xi + 1)$ -s-stationary if and only if it is Π_ξ^1 -indescribable.

Thus, in \mathbf{L} the non-isolated points of $\tau_{\xi+1}$ are precisely the ordinals whose cofinality is a Π_ξ^1 -indescribable cardinal.

⁷Derived topologies on ordinals and stationary reflection. To appear in TAMS.

Question

*What is the consistency strength of ξ -stationarity?
And of ξ -s-stationarity?*

The ideal of non- ξ -s-stationary sets

For each limit ordinal α and each $\xi > 0$, let NS_α^ξ be the set of non- ξ -s-stationary subsets of α .

Thus, if α has uncountable cofinality, NS_α^1 is the ideal of non-stationary subsets of α and $(NS_\alpha^1)^*$ is the club filter over α .

Theorem

For every ξ , an ordinal α is ξ -s-stationary if and only if NS_α^ξ is a proper ideal.

Fact

If κ is a Π_ξ^1 -indescribable cardinal, then the ideal $NS_\kappa^{\xi+1}$ is proper, normal, and κ -complete.

On the consistency strength of ξ -stationarity

Definition (Mekler-Shelah 1989)

A regular uncountable cardinal κ is a **reflection cardinal** if there exists a proper, normal, and κ -complete ideal \mathcal{J} on κ such that for every $X \subseteq \kappa$,

$$X \in \mathcal{J}^+ \quad \Rightarrow \quad d_1(X) \in \mathcal{J}^+.$$

Note: if κ is 2-s-stationary, then NS_κ^1 is the smallest such ideal. If κ is weakly compact, then there are many reflection cardinals below κ .

Theorem (Mekler-Shelah 1989)

The following are equiconsistent:

1. *There exists a 2-stationary cardinal.*
2. *There exists a reflection cardinal.*

ξ -reflection cardinals

For a set $X \subseteq \kappa$, let

$$d_{\xi}^*(X) := \{\alpha < \kappa : \alpha \cap X \text{ is } \xi\text{-stationary in } \alpha\}$$

Definition

A regular uncountable cardinal κ is a ξ -reflection cardinal if there exists a proper, normal, and κ -complete ideal \mathcal{J} on κ such that $d_{\xi}^*(\kappa)$ belongs to \mathcal{J}^* , and for every $X \subseteq \kappa$,

$$X \in \mathcal{J}^+ \quad \Rightarrow \quad d_{\xi}^*(X) \in \mathcal{J}^+$$

On the consistency strength of ξ -stationarity

Theorem (Bagaria-Magidor-Mancilla, 2017)

Assume $V = L$ and suppose that κ is an n -reflection cardinal. Then, in some forcing extension that preserves cardinals, κ is $(n + 1)$ -stationary.

If κ is Π_n^1 -indescribable, then κ is an n -reflection cardinal, and the set of n -reflection cardinals smaller than κ is n -stationary.

Thus, the consistency strength of an $(n + 1)$ -stationary cardinal is strictly weaker than the existence of a Π_n^1 -indescribable cardinal.

Magidor⁸ shows that the following are equiconsistent:

1. There exists a 2-s-stationary cardinal.
2. There exists a weakly-compact (i.e., Π_1^1 -indescribable) cardinal.

Question

What is the consistency strength of the existence of an n -s-stationary cardinal? Is it a Π_{n-1}^1 -indescribable cardinal?

⁸M. Magidor, On reflecting stationary sets. JSL 47 (1982)